



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Toyokazu FUJII, et al.) *Group Art Unit: 2814*
Serial No.: 09/536,618) *Examiner: H. PHAM*
(DIV of SN: 09/018,181))
Filed: March 28, 2000)

FOR: A SEMICONDUCTOR DEVICE HAVING IMPROVED PLANARIZATION)
PROPERTIES AND A METHOD FOR FORMING THE SAME (AS AMENDED))

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. § 1.56, the attention of the Patent and Trademark Office is directed to the cited reference(s) listed on the attached PTO-1449. The cited references are believed to be the most relevant known to Applicants and/or Assignee at this time concerning the invention as claimed in the above-captioned patent application. No representation is made or intended that more relevant information does not exist or that the order of presentation of the information in any way reflects their relative pertinence.

Applicant(s) respectfully request(s) that each of the cited information be expressly considered during the prosecution of this application and that the cited reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. A copy of each reference is attached.

- ☐ 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. Thus, no certification or fee is required.
- ☒ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a

counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

- ☒ c. Please charge the amount of \$180.00 in payment of the fee under 37 C.F.R. § 1.17(p). Please credit or debit Deposit Account No. 50-0417 as needed to ensure consideration of the disclosed information. A duplicate copy of this paper is attached.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) petition(s) that the Information Disclosure Statement be considered. Please charge Deposit Account No. 50-0417 in the amount of \$180.00 for payment of the petition fee. Please credit or debit Deposit Account No. 50-0417 as needed to ensure consideration of the disclosed information. A duplicate copy of this paper is attached.
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Please grant any extension of time deemed necessary for entry of this communication. Please charge any deficient fees, or credit any overpayment of fees associated with this communication to Deposit Account No. 50-0417. A duplicate copy of this communication is attached.

Respectfully submitted,

McDERMOTT, WILL & EMERY

Date: 8/15/03

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Form PTO 1449

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PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
43889-929SERIAL NO.
09/536,618LIST OF REFERENCES CITED BY APPLICANT
(Use Several Sheets if Necessary)

APPLICANT

Toyokazu FUJII, et al.

FILING DATE March 28, 2000

GROUP
2814

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	FILING DATE IF APPROPRIATE
	AA				
	AB				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO
	AC	6-188239 A	7/8/94	Japan (Abstract)	X
	AD	61-081657 A	4/25/86	Japan (Abstract)	X
	AE	63-126251 A	5/30/88	Japan (Abstract)	X
	AF	3-220725 A	9/27/91	Japan (Abstract)	X
	AG	11-74480 A	3/16/99	Japan (Abstract)	X
	AH	6-021393 A	1/28/94	Japan (Abstract)	X
	AI	8-204147 A	8/9/96	Japan (Abstract)	X
	AJ	10-223897 A	8/21/98	Japan (Abstract)	X
	AK	11-195713 A	7/21/99	Japan (Abstract)	X
	AL	1996-0008865	7/5/1996	Korea	X

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.